

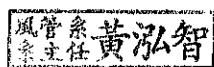
表二

103 學年度 商 學院 英語授課課程大綱

| <p>課程名稱<br/>Course Title</p>           | <p>(中文)國際保險監理專題</p>  |  |                  |       |      |         |                  |   |      |             |  |   |     |                                    |                  |   |      |  |          |   |      |                                 |                  |  |  |                                |          |   |      |                                 |      |  |  |                     |      |   |     |                               |      |   |      |                               |      |   |      |   |    |   |      |                |    |
|--|--|--|------------------|-------|------|---------|------------------|---|------|-------------|--|---|-----|------------------------------------|------------------|---|------|--|----------|---|------|---------------------------------|------------------|--|--|--------------------------------|----------|---|------|---------------------------------|------|--|--|---------------------|------|---|-----|-------------------------------|------|---|------|-------------------------------|------|---|------|---|----|---|------|----------------|----|
|  | <p>(英文) International Aspect of Insurance Regulation</p>   |  |                  |       |      |         |                  |   |      |             |  |   |     |                                    |                  |   |      |  |          |   |      |                                 |                  |  |  |                                |          |   |      |                                 |      |  |  |                     |      |   |     |                               |      |   |      |                               |      |   |      |   |    |   |      |                |    |
| <p>課程目標<br/>Course Objectives</p>      | <p>At the end of this course, the students should be able to:</p> <ol style="list-style-type: none"> <li>1. Understand the fundamental theories to regulation in the insurance sector.</li> <li>2. Understand a broad perspective of financial services and insurance in terms of market development and regulatory policies.</li> <li>3. Understand the important international principles, standards conducted by the IAIS.</li> <li>4. Understand the effects of and the rationale for public policies that affect the insurance industry, insurance end-users (i.e. enterprises and individuals).</li> <li>5. Think critically and analytically solve regulatory problems that confront the policy makers, insurance industry and the end-users.</li> </ol>  |  |                  |       |      |         |                  |   |      |             |  |   |     |                                    |                  |   |      |  |          |   |      |                                 |                  |  |  |                                |          |   |      |                                 |      |  |  |                     |      |   |     |                               |      |   |      |                               |      |   |      |   |    |   |      |                |    |
| <p>課程大綱<br/>Course Description</p>     | <p>The objective of this course is to equip the students with a general framework for understanding the theories of regulation for insurance and other financial services and the core principles for insurance regulation promoted by the International Association and Insurance Supervisors (IAIS). Institutional details with emphasis on the current regulatory issues in Taiwan will also be addressed. Since the regulatory issues are constantly changing, our primary goal is to stimulate critical thinking and promote the development of problem solving skills to better prepare students for applying general concepts to new types of regulatory policy issues.</p>   |  |                  |       |      |         |                  |   |      |             |  |   |     |                                    |                  |   |      |  |          |   |      |                                 |                  |  |  |                                |          |   |      |                                 |      |  |  |                     |      |   |     |                               |      |   |      |                               |      |   |      |   |    |   |      |                |    |
| <p>上課進度<br/>Weekly Course Schedule</p> | <p><b>Tentative Course Schedule and Assignments</b></p> <table border="1" data-bbox="316 1160 1513 2045"> <thead> <tr> <th data-bbox="316 1160 459 1249">Topic</th> <th data-bbox="459 1160 651 1249">Date</th> <th data-bbox="651 1160 1289 1249">Content</th> <th data-bbox="1289 1160 1513 1249">Assigned Reading</th> </tr> </thead> <tbody> <tr> <td data-bbox="316 1249 459 1305">1</td> <td data-bbox="459 1249 651 1305">2/27</td> <td data-bbox="651 1249 1289 1305">Orientation</td> <td data-bbox="1289 1249 1513 1305"></td> </tr> <tr> <td data-bbox="316 1305 459 1391">2</td> <td data-bbox="459 1305 651 1391">3/6</td> <td data-bbox="651 1305 1289 1391">Nature and Importance of Insurance</td> <td data-bbox="1289 1305 1513 1391">S&amp; K, Chapter 20</td> </tr> <tr> <td data-bbox="316 1391 459 1476">3</td> <td data-bbox="459 1391 651 1476">3/13</td> <td data-bbox="651 1391 1289 1476">Global insurance review in 2012 and outlook 2013</td> <td data-bbox="1289 1391 1513 1476">SR Sigma</td> </tr> <tr> <td data-bbox="316 1476 459 1561">4</td> <td data-bbox="459 1476 651 1561">3/20</td> <td data-bbox="651 1476 1289 1561">Regulation in Insurance Markets</td> <td data-bbox="1289 1476 1513 1561">S&amp; K, Chapter 24</td> </tr> <tr> <td data-bbox="316 1561 459 1617"></td> <td data-bbox="459 1561 651 1617"></td> <td data-bbox="651 1561 1289 1617">Regulatory Issues in Insurance</td> <td data-bbox="1289 1561 1513 1617">SR Sigma</td> </tr> <tr> <td data-bbox="316 1617 459 1673">5</td> <td data-bbox="459 1617 651 1673">3/27</td> <td data-bbox="651 1617 1289 1673">Insurance Core Principles (ICP)</td> <td data-bbox="1289 1617 1513 1673">IAIS</td> </tr> <tr> <td data-bbox="316 1673 459 1729"></td> <td data-bbox="459 1673 651 1729"></td> <td data-bbox="651 1673 1289 1729">Insurance Concordat</td> <td data-bbox="1289 1673 1513 1729">IAIS</td> </tr> <tr> <td data-bbox="316 1729 459 1785">6</td> <td data-bbox="459 1729 651 1785">4/3</td> <td data-bbox="651 1729 1289 1785">Conduct of Insurance Business</td> <td data-bbox="1289 1729 1513 1785">IAIS</td> </tr> <tr> <td data-bbox="316 1785 459 1841">7</td> <td data-bbox="459 1785 651 1841">4/10</td> <td data-bbox="651 1785 1289 1841">Capital Adequacy and Solvency</td> <td data-bbox="1289 1785 1513 1841">IAIS</td> </tr> <tr> <td data-bbox="316 1841 459 1989">8</td> <td data-bbox="459 1841 651 1989">4/17</td> <td data-bbox="651 1841 1289 1989">Consolidated Financial Regulation in Taiwan</td> <td data-bbox="1289 1841 1513 1989">JJ</td> </tr> <tr> <td data-bbox="316 1989 459 2045">9</td> <td data-bbox="459 1989 651 2045">4/24</td> <td data-bbox="651 1989 1289 2045">Midterm Review</td> <td data-bbox="1289 1989 1513 2045">JJ</td> </tr> </tbody> </table> |  |                  | Topic | Date | Content | Assigned Reading | 1 | 2/27 | Orientation |  | 2 | 3/6 | Nature and Importance of Insurance | S& K, Chapter 20 | 3 | 3/13 | Global insurance review in 2012 and outlook 2013 | SR Sigma | 4 | 3/20 | Regulation in Insurance Markets | S& K, Chapter 24 |  |  | Regulatory Issues in Insurance | SR Sigma | 5 | 3/27 | Insurance Core Principles (ICP) | IAIS |  |  | Insurance Concordat | IAIS | 6 | 4/3 | Conduct of Insurance Business | IAIS | 7 | 4/10 | Capital Adequacy and Solvency | IAIS | 8 | 4/17 | Consolidated Financial Regulation in Taiwan | JJ | 9 | 4/24 | Midterm Review | JJ |
| Topic                                  | Date   | Content  | Assigned Reading |       |      |         |                  |   |      |             |  |   |     |                                    |                  |   |      |  |          |   |      |                                 |                  |  |  |                                |          |   |      |                                 |      |  |  |                     |      |   |     |                               |      |   |      |                               |      |   |      |   |    |   |      |                |    |
| 1                                      | 2/27   | Orientation                                      |                  |       |      |         |                  |   |      |             |  |   |     |                                    |                  |   |      |  |          |   |      |                                 |                  |  |  |                                |          |   |      |                                 |      |  |  |                     |      |   |     |                               |      |   |      |                               |      |   |      |   |    |   |      |                |    |
| 2                                      | 3/6  | Nature and Importance of Insurance               | S& K, Chapter 20 |       |      |         |                  |   |      |             |  |   |     |                                    |                  |   |      |  |          |   |      |                                 |                  |  |  |                                |          |   |      |                                 |      |  |  |                     |      |   |     |                               |      |   |      |                               |      |   |      |   |    |   |      |                |    |
| 3                                      | 3/13   | Global insurance review in 2012 and outlook 2013 | SR Sigma         |       |      |         |                  |   |      |             |  |   |     |                                    |                  |   |      |  |          |   |      |                                 |                  |  |  |                                |          |   |      |                                 |      |  |  |                     |      |   |     |                               |      |   |      |                               |      |   |      |   |    |   |      |                |    |
| 4                                      | 3/20   | Regulation in Insurance Markets                  | S& K, Chapter 24 |       |      |         |                  |   |      |             |  |   |     |                                    |                  |   |      |  |          |   |      |                                 |                  |  |  |                                |          |   |      |                                 |      |  |  |                     |      |   |     |                               |      |   |      |                               |      |   |      |   |    |   |      |                |    |
|  |  | Regulatory Issues in Insurance                   | SR Sigma         |       |      |         |                  |   |      |             |  |   |     |                                    |                  |   |      |  |          |   |      |                                 |                  |  |  |                                |          |   |      |                                 |      |  |  |                     |      |   |     |                               |      |   |      |                               |      |   |      |   |    |   |      |                |    |
| 5                                      | 3/27   | Insurance Core Principles (ICP)                  | IAIS             |       |      |         |                  |   |      |             |  |   |     |                                    |                  |   |      |  |          |   |      |                                 |                  |  |  |                                |          |   |      |                                 |      |  |  |                     |      |   |     |                               |      |   |      |                               |      |   |      |   |    |   |      |                |    |
|  |  | Insurance Concordat                              | IAIS             |       |      |         |                  |   |      |             |  |   |     |                                    |                  |   |      |  |          |   |      |                                 |                  |  |  |                                |          |   |      |                                 |      |  |  |                     |      |   |     |                               |      |   |      |                               |      |   |      |   |    |   |      |                |    |
| 6                                      | 4/3  | Conduct of Insurance Business                    | IAIS             |       |      |         |                  |   |      |             |  |   |     |                                    |                  |   |      |  |          |   |      |                                 |                  |  |  |                                |          |   |      |                                 |      |  |  |                     |      |   |     |                               |      |   |      |                               |      |   |      |   |    |   |      |                |    |
| 7                                      | 4/10   | Capital Adequacy and Solvency                    | IAIS             |       |      |         |                  |   |      |             |  |   |     |                                    |                  |   |      |  |          |   |      |                                 |                  |  |  |                                |          |   |      |                                 |      |  |  |                     |      |   |     |                               |      |   |      |                               |      |   |      |   |    |   |      |                |    |
| 8                                      | 4/17   | Consolidated Financial Regulation in Taiwan      | JJ               |       |      |         |                  |   |      |             |  |   |     |                                    |                  |   |      |  |          |   |      |                                 |                  |  |  |                                |          |   |      |                                 |      |  |  |                     |      |   |     |                               |      |   |      |                               |      |   |      |   |    |   |      |                |    |
| 9                                      | 4/24   | Midterm Review                                   | JJ               |       |      |         |                  |   |      |             |  |   |     |                                    |                  |   |      |  |          |   |      |                                 |                  |  |  |                                |          |   |      |                                 |      |  |  |                     |      |   |     |                               |      |   |      |                               |      |   |      |   |    |   |      |                |    |

|  |   |      |   |              |
|--|---|------|---|--------------|
|  | 10  | 5/1  | Insurance Regulation in US              | Participants |
|  | 11  | 5/8  | Insurance Regulation in EU              | Participants |
|  | 12  | 5/15 | Insurance Regulation in China           | Participants |
|  | 13  | 5/22 | Insurance Regulation in Japan           | Participants |
|  | 14  | 5/29 | Insurance Regulation in UK              | Participants |
|  | 15  | 6/5  | Insurance Regulation in selected models | Participants |
|  | 16  | 6/12 | Final Review                            | JJ           |
|  | 17  | 6/19 | Final Review                            |              |
|  | 18  | 6/26 | Final Week                              |              |
| 教學方式<br>Instructional<br>Method                      | A student-centered approach will be adopted by this course. Not only this course primarily utilizes lectures but also encourages class discussion. Student-instructor dialogue is especially encouraged. Students are ultimately responsible for their own progress by being active and critical participant in the learning. It is required that all the students shall have Pre-Class preparation for the assigned reading materials. The instructor will identify and arrange access to resources, create the context for learning and serve as an informal mentor.  |      |   |              |
| 課程要求<br>Course<br>Requirements                       | <p>Required Reading Text and Material</p> <ol style="list-style-type: none"> <li>1. Harold D. Skipper &amp; W. Jean Kwon, Risk Management and Insurance: Perspective in a Global Economy, Blackwell Publishing, 2007</li> <li>2. International Association of Insurance Supervisors (IAIS), Insurance Principles, Standards &amp; Guidance Papers, 2000/10. (<a href="http://www.iaisweb.org/default.htm">http://www.iaisweb.org/default.htm</a>)</li> <li>3. Swiss Re., Global Insurance Review 2012 and Outlook 2013/14, in Sigma Dec. 2012, Regulatory Issues in Insurance, in Sigma No3 2010.(<a href="http://www.swissre.com/sigma/">http://www.swissre.com/sigma/</a>)</li> </ol> |      |   |              |
| 評量方式<br>Evaluation                                   | Class Participation: 60%      Presentations: 20%      Term Papers: 20 %   |      |   |              |
| 教材及參考<br>書目<br>Textbooks &<br>Suggested<br>Materials | <p>Required Reading Text and Material</p> <ol style="list-style-type: none"> <li>1. Harold D. Skipper &amp; W. Jean Kwon, Risk Management and Insurance: Perspective in a Global Economy, Blackwell Publishing, 2007</li> <li>2. International Association of Insurance Supervisors (IAIS), Insurance Principles, Standards &amp; Guidance Papers, 2000/10. (<a href="http://www.iaisweb.org/default.htm">http://www.iaisweb.org/default.htm</a>)</li> <li>3. Swiss Re., Global Insurance Review 2012 and Outlook 2013/14, in Sigma Dec. 2012, Regulatory Issues in Insurance, in Sigma No3 2010.(<a href="http://www.swissre.com/sigma/">http://www.swissre.com/sigma/</a>)</li> </ol> |      |   |              |
| 課程相關<br>連結網址<br>Course<br>Website                    |   |      |   |              |
| 備註<br>Remarks  |   |      |   |              |

申請教師簽章： 

開課單位主管簽章：  風管系 系主任 黃泓智